

## Exhibit 300: Capital Asset Summary

### Part I: Summary Information And Justification (All Capital Assets)

#### Section A: Overview & Summary Information

**Date Investment First Submitted:** 2009-06-30  
**Date of Last Change to Activities:** 2012-05-31  
**Investment Auto Submission Date:** 2012-02-29  
**Date of Last Investment Detail Update:** 2011-09-23  
**Date of Last Exhibit 300A Update:** 2012-08-23  
**Date of Last Revision:** 2012-08-23

**Agency:** 024 - Department of Homeland Security      **Bureau:** 30 - Citizenship and Immigration Services

**Investment Part Code:** 01

**Investment Category:** 00 - Agency Investments

**1. Name of this Investment:** USCIS - Benefits Provision - Verification Information System (VIS)/Employment Eligibility Verification (EEV)

**2. Unique Investment Identifier (Ull):** 024-000003029

#### Section B: Investment Detail

- 1. Provide a brief summary of the investment, including a brief description of the related benefit to the mission delivery and management support areas, and the primary beneficiary(ies) of the investment. Include an explanation of any dependencies between this investment and other investments.**

The USCIS Verification Information System (VIS) is a mixed lifecycle IT system that provides the data, functions and reporting capabilities to support both the E-Verify and Systematic Alien Verification for Entitlements (SAVE) Programs. These programs enable private and public employers in the U.S. to verify work authorization for their employees and enable local, state, and federal government agencies to determine an individual's eligibility to receive benefits. VIS enables users to make eligibility decisions quickly, efficiently and judiciously and enables the Verification Division to conduct monitoring and compliance efforts, validate employee information, maintain historical data, and generate statistics and reports requested by federal, state, local government agencies, DHS, Congress and the White House. The SAVE and E-Verify programs are integral components of the President's immigration reform agenda, specifically aligned with DHS and USCIS strategic goals. Recent legislation promotes the implementation of mandatory E-Verify. If the use of E-Verify becomes mandatory for all workers in the United States, the query volume per year could substantially increase. To scale VIS so that it can process an ever-increasing volume of cases while meeting current system performance levels, USCIS/Verification Division will modernize the system by implementing a series of software releases that will re-architect the technical infrastructure and improve business processes. At its full operational capability in FY 2016,

VIS will effectively manage increased system demand, provide additional verification capabilities, integrate with internal and external systems, produce robust tracking and reporting records, and strengthen compliance and customer service capabilities. During FY11 Q1-Q3, the primary VIS deliverable was Release 6.0, a pilot program with the state of Mississippi, to allow employers to validate employee driver's license data. FY11 Q4 has included continuation of Operations and Management and contract negotiations for FY12. Release 7.0, scheduled for FY12, will automate processes such as SAVE application and registration, expand Self-Check functionality, and allow employers to set company privacy options. Later releases will enable photo matching capabilities for SAVE, robust audit trail capabilities, expansion of the AAMVA RIDE pilot program, and development of a VIS Agency Web Services Extended interface.

**2. How does this investment close in part or in whole any identified performance gap in support of the mission delivery and management support areas? Include an assessment of the program impact if this investment isn't fully funded.**

As of June 2011, more than 876 federal, state, and local agencies participate in SAVE and more than 274,697 employers use E-Verify, resulting in an estimated 11 million SAVE queries and 17.1 million E-Verify queries for FY 11. If current trends continue: • The projected number of total SAVE and E-Verify users will exceed 520,000 by 2016. If the use of E-Verify becomes mandatory for all employers, the projected number of total E-Verify users is projected to exceed 6.8 million, and • SAVE query volume per year is anticipated to exceed 14 million by 2015, assuming the implementation of Healthcare reform. E-Verify query volume per year is anticipated to exceed 26.6 million by 2015. In addition to increased use of the system, the VIS operational environment must be enhanced and modernized to effectively manage the increased demands resulting from: • Recent federal and state contractor resource verification mandates, • Implementation of the REAL ID Act and Healthcare Reform, • Efforts to increase the credibility of the Verification Division's monitoring and compliance data to law enforcement efforts, • Introduction of the Self Check capability, • Proposed pilots to enhance the integrity and utility of the system, • Potential mandates for the verification of all employees, and the employment of emerging technologies, • Customer expectations as their knowledge of IT systems and web user interface programs become more sophisticated, • Reports and complaints of inaccurate responses to employment or benefit eligibility requests due to inefficiencies in records matching and data cleansing practices, • Lack of streamlined, optimized business processes to provide efficient and effective case monitoring, resolution, and tracking and automated workflow capabilities, and • Inadequate data analytical capabilities to support effective analysis of customer activity. The SAVE and E-Verify programs will be unable to keep pace with the advancing threat of fraud and the threat to data quality, enabling people who are not authorized to work in this country to gain employment and people who are not entitled to receive benefits to receive them. Conversely people eligible for employment and to receive benefits might be deprived of their rightful access. Failure to enhance and modernize VIS will limit the SAVE and E-Verify programs, compromising DHS's ability to meet its mission to enforce and administer our nation's immigration laws.

**3. Provide a list of this investment's accomplishments in the prior year (PY), including projects or useful components/project segments completed, new functionality added, or operational efficiency achieved.**

VIS Release 6.0 implemented the AAMVA-RIDE (American Association of Motor Vehicle Administrators Records and Images from DMVs for E-Verify) program. This pilot enables E-Verify users to use information (name, document #) from Mississippi driver's licenses and driver's permits to perform verification. Other enhancements and initiatives in FY11 included (see #30 for explanation of further functionality): • Passport Photo Matching • Modify Passport Document Number Requirements • Future Hire Date • E-Verify User interface enhancements • E-Verify Corporate Administrator functionality • Add list B and C document • Modify Visa Number Requirements for E-Verify and SAVE • SAVE Termination Dates for HUD Entities • E-Verify spaces recognition logic • Enhance the VIS ESB simulators to support comprehensive load testing in DC-1 • TPO I-539 Data Feed.

**4. Provide a list of planned accomplishments for current year (CY) and budget year (BY).**

The following accomplishments are planned for current year: • Data Center Migration- VIS application will be migrated from a contractor owned and operated facility to a government owned contractor operated data center. • Form G-845 Revision - automates the submission of this SAVE form by making it available electronically. • E-Verify for the Commonwealth of Northern Mariana Islands - enables future hire dates to be entered into E-Verify. This helps employers whose employees must start work for pay at a future date, or for those employers residing in CNMI, whose time zone is significantly ahead of the U.S. Central time zone. • Management Report Automation for SSA - automates report generation to allow approved reports to be processed through the SSA's EV-Star application. This supports the need for more transparency and oversight in management processes. • Web Service Enhancement - enables Web Service users to complete an electronic MOU. Current MOUs are paper-based and thus part of a time-consuming manual process. • SEVIS II (when available) for SAVE/E-Verify - establishes a link between VIS and SEVIS II that streamlines the verification process for non-immigrant students and exchange visitors. This automation prevents these cases from being referred to the manual and time-consuming second-step Status Verification process. • SAVE Program Registration Automation Phase I - automates the application and registration processes for SAVE customers and prompts SAVE users to complete a required tutorial before they are granted full access to the SAVE application. • Employer opt out- allows employers to decline answering enrollment questions that are purely for marketing purposes. This enables customers to prevent their information from being used for purposes other than enrollment in USCIS programs. • Self-Check Phase II - added functionality that enables users (citizens and employees) to: • Specify which employers can submit E-Verify queries using their personal information - gives citizens and employees more control over who can access their personally identifiable information. • View which employers have used their SSN for an E-Verify query and when - enables citizens and employees to know how their personally identifiable information has been used by employers. • (continued in #30).

**5. Provide the date of the Charter establishing the required Integrated Program Team (IPT) for this investment. An IPT must always include, but is not limited to: a qualified fully-dedicated IT program manager, a contract specialist, an information technology specialist, a security specialist and a business process owner before OMB will approve this program investment budget. IT Program Manager, Business Process Owner and Contract Specialist must be Government Employees.**

2011-07-07

## Section C: Summary of Funding (Budget Authority for Capital Assets)

1.

Table I.C.1 Summary of Funding

	PY-1 & Prior	PY 2011	CY 2012	BY 2013
Planning Costs:	\$5.7	\$1.5	\$1.5	\$1.8
DME (Excluding Planning) Costs:	\$112.0	\$1.2	\$14.2	\$10.7
DME (Including Planning) Govt. FTEs:	\$0.0	\$0.0	\$0.0	\$0.0
Sub-Total DME (Including Govt. FTE):	\$117.7	\$2.7	\$15.7	\$12.5
O & M Costs:	\$82.4	\$22.2	\$26.3	\$28.8
O & M Govt. FTEs:	\$6.9	\$4.0	\$4.1	\$4.0
Sub-Total O & M Costs (Including Govt. FTE):	\$89.3	\$26.2	\$30.4	\$32.8
Total Cost (Including Govt. FTE):	\$207.0	\$28.9	\$46.1	\$45.3
Total Govt. FTE costs:	\$6.9	\$4.0	\$4.1	\$4.0
# of FTE rep by costs:	87	29	29	29
Total change from prior year final President's Budget (\$)		\$0.0	\$0.0	
Total change from prior year final President's Budget (%)		0.00%	0.00%	

2. If the funding levels have changed from the FY 2012 President's Budget request for PY or CY, briefly explain those changes:

## Section D: Acquisition/Contract Strategy (All Capital Assets)

Table I.D.1 Contracts and Acquisition Strategy

Contract Type	EVM Required	Contracting Agency ID	Procurement Instrument Identifier (PIID)	Indefinite Delivery Vehicle (IDV) Reference ID	IDV Agency ID	Solicitation ID	Ultimate Contract Value (\$M)	Type	PBSA ?	Effective Date	Actual or Expected End Date
Awarded	7003	<a href="#">HSSCCG11J00307</a>	HSQDC06D00021	7001							
Awarded	7003	<a href="#">HSSCCG11J00326</a>	HSQDC06D00021	7001							
Awarded	7003	<a href="#">HSSCCG12J00045</a>	HSQDC06D00021	7001							
Awarded	7003	<a href="#">HSSCCG11F00493</a>	GS-35F-0170K	4730							
Awarded	7003	<a href="#">HSSCCG11J00337</a>	HSBP1009D02335	7014							

**2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:**

Per EAGLE contract vehicle EVM is not required for contracts that are valued less than \$ 20 Million. For the migration support contract a cost breakdown format will be used in lieu of EVM.

Exhibit 300B: Performance Measurement Report

Section A: General Information

Date of Last Change to Activities: 2012-05-31

Section B: Project Execution Data

Table II.B.1 Projects					
Project ID	Project Name	Project Description	Project Start Date	Project Completion Date	Project Lifecycle Cost (\$M)
30010401	Verification Information System	Release 7.0.			
30010402	Verification Information System	Release 8.0.			

Activity Summary								
Roll-up of Information Provided in Lowest Level Child Activities								
Project ID	Name	Total Cost of Project Activities (\$M)	End Point Schedule Variance (in days)	End Point Schedule Variance (%)	Cost Variance (\$M )	Cost Variance (%)	Total Planned Cost (\$M)	Count of Activities
30010401	Verification Information System							
30010402	Verification Information System							

Key Deliverables								
Project Name	Activity Name	Description	Planned Completion Date	Projected Completion Date	Actual Completion Date	Duration (in days)	Schedule Variance (in days )	Schedule Variance (%)
30010401	Release 7.0 Solutions Engineering	SELC Phase 1.0 Solutions Engineering	2011-12-06	2012-01-25	2012-01-25	63	-50	-79.37%
30010401	Release 7.0 Planning	SELC Phase 2.0 Planning	2012-01-24	2012-02-28	2012-02-28	38	-35	-92.11%



## Key Deliverables

Project Name	Activity Name	Description	Planned Completion Date	Projected Completion Date	Actual Completion Date	Duration (in days)	Schedule Variance (in days )	Schedule Variance (%)
30010401	Release 7.0 Requirements Definition	SELC Phase 3.0 Requirements Definition	2012-02-24	2012-03-05	2012-03-05	58	-10	-17.24%
30010401	Release 7.0 Design	SELC Phase 4.0 Design	2012-05-22	2012-03-25	2012-03-25	97	58	59.79%
30010401	Release 7.0 Development	SELC Phase 5.0 Development	2012-08-15	2012-05-14		84	-16	-19.05%

## Section C: Operational Data

Table II.C.1 Performance Metrics

Metric Description	Unit of Measure	FEA Performance Measurement Category Mapping	Measurement Condition	Baseline	Target for PY	Actual for PY	Target for CY	Reporting Frequency
Percent of SAVE automated additional verification requests responded to within 3 Federal government workdays of receipt.	Percent	Customer Results - Service Quality	Over target	99.000000	99.000000	99.000000	99.000000	Quarterly
Percentage of USCIS record checks completed within 24 hours/1 business day for E-Verify queries requiring additional information verification	Percent	Mission and Business Results - Support Delivery of Services	Over target	99.000000	99.000000	99.200000	99.000000	Quarterly
Time to restore severity 1 (critical) problems	Percent	Technology - Reliability and Availability	Under target	90.000000	0.000000	0.000000	90.000000	Monthly
Time to restore Severity 2 (moderate) problems	Percent	Technology - Reliability and Availability	Over target	90.000000	0.000000	0.000000	90.000000	Monthly
VIS System's high-availability applications shall be 100% available to the Production environment during normal business hours, less negotiated maintenance windows	Percent	Technology - Technology Costs	Over target	100.000000	0.000000	100.000000	100.000000	Monthly
Respond to 98% of Tier 3 calls within 15 minutes of notification during normal business hours	Percent	Customer Results - Timeliness and Responsiveness	Over target	100.000000	0.000000	100.000000	100.000000	Monthly
Performance to schedule and budge	Days	Process and Activities - Cycle Time and	Over target	7.000000	0.000000	7.000000	7.000000	Monthly

Table II.C.1 Performance Metrics								
Metric Description	Unit of Measure	FEA Performance Measurement Category Mapping	Measurement Condition	Baseline	Target for PY	Actual for PY	Target for CY	Reporting Frequency
for system enhancement projects/Within 7 days of negotiated schedule		Timeliness						
24 x 7 Availability less maint window of 18:00 - 24:00 on Sundays	Percent	Technology - Reliability and Availability	Over target	99.900000	0.000000	0.000000	99.900000	Monthly